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10/807,465	03/24/2004	Guenther H. Ruhe	473-1us	2920
20212 Lambert Intelle	7590 10/15/2007 ectual Property Law		EXAMINER	
Suite 200, 1032	28 - 81 Avenue		WANG, BEN C	
Edmonton, AB T6E 1X2 CANADA		•	ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

······································	Application No.	Applicant(s)	
	10/807,465	RUHE, GUENTHER H.	
Office Action Summary	Examiner	Art Unit	
	Ben C. Wang	2192	
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with th	e correspondence address	
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATE 36(a). In no event, however, may a reply be vill apply and will expire SIX (6) MONTHS for , cause the application to become ABANDO	ON. The timely filed  From the mailing date of this communication.  From the mailing date of this communication.	
Status			
Responsive to communication(s) filed on <u>8-7-2</u> This action is <b>FINAL</b> . 2b)⊠ This      Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final.		
Disposition of Claims	•		
4)  Claim(s) 1-22 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5)  Claim(s) is/are allowed. 6)  Claim(s) 1-22 is/are rejected. 7)  Claim(s) is/are objected to. 8)  Claim(s) are subject to restriction and/or	wn from consideration.		
Application Papers			
9) ☐ The specification is objected to by the Examine 10) ☑ The drawing(s) filed on <u>07 August 2007</u> is/are: Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) ☐ The oath or declaration is objected to by the Ex	a) accepted or b) objected or b) objected drawing(s) be held in abeyance. ion is required if the drawing(s) is	See 37 CFR 1.85(a). objected to. See 37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119			
<ul> <li>12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents</li> <li>2. Certified copies of the priority documents</li> <li>3. Copies of the certified copies of the priority application from the International Bureau</li> <li>* See the attached detailed Office action for a list</li> </ul>	s have been received. s have been received in Applic rity documents have been rece u (PCT Rule 17.2(a)).	ation No vived in this National Stage	
Attachment(s)			
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summ Paper No(s)/Mai 5) Notice of Inform: 6) Other:		

## **DETAILED ACTION**

1. Applicant's amendment dated August 7, 2007, responding to the Office action mailed May 8, 2007 provided in the rejection of claims 1-22, wherein claims 19-20 are amended.

Claims 1-22 remain pending in the application and which have been fully considered by the examiner.

Applicant's arguments with respect to claims rejection have been fully considered but are most in view of the new grounds of rejection – see Carlshamre et al., Antoniol et al., and David A. Penny - arts made of record, as applied hereto.

## Claim Rejections - 35 USC § 102(b)

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102(b) that form the basis for the rejections under this section made in this office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1-3, 8-17, and 19-22 are rejected under 35 U.S.C. 102(b) as being anticipated by Carlshamre et al., (*An Industrial Survey of Requirements Interdependencies in Software Product Release Planning, 2001, IEEE*) (hereinafter 'Carlshamre' art made of record)
- 3. **As to claim 1** (Original), Carlshamre discloses a method of release planning, the method comprising the steps of:

- assigning stakeholder priorities to a set of requirements, where the priorities are assigned by plural stakeholders (e.g., Sec. 1 Introduction, 1st Par., Lines 6-12 for increment planning at Ericsson® Radio Systems revealed six different planning parameters that has to be considered and satisfied: available resources, delivery time, requirements interdependencies, requirements priority, system architecture, and dependencies to the code base; 2nd Par., Lines 1-4 Priority of requirements is a major determinant in increment planning ..; Table 2 Preliminary set of interdependencies, 1st Col. Priority; Sec. 2.1 Types of interdependencies, 2nd Par. In some cases, more than one relationship could be identified between two particular requirements. To solve this, the interdependencies were given a priority, according to Table 1, and only the interdependency with the highest priority was recorded);
- explicitly defining a set of constraints on the requirements (e.g., Sec. 1 Introduction, 1<sup>st</sup> Par., Lines 6-12 for increment planning at Ericsson®
   Radio Systems revealed six different planning parameters that has to be considered and satisfied: available resources, delivery time, requirements interdependencies, requirements priority, system architecture, and dependencies to the code base; Table 2 Preliminary set of interdependencies Col. 'Type' and Col. 'Meaning'; Sec. 2.1 Types of interdependencies, 2<sup>nd</sup> Par. through 3<sup>rd</sup> Par.);
- using algorithms carried out by a computer, exploring release plan
   solutions that satisfy the constraints and balance between stakeholder

priorities of different stakeholders to generate a set of candidate release plan solutions that have a positive impact on at least one of project time, overall cost and quality (e.g., Sec. 1 – Introduction, 1<sup>st</sup> Par., Lines 6-12 – for increment planning at Ericsson® Radio Systems revealed six different planning parameters that has to be considered and satisfied: <u>available resources</u>, <u>delivery time</u>, <u>requirements interdependencies</u>, <u>requirements priority</u>, system architecture, and dependencies to the code base; Sec. 3.4 – Supporting identification of interdependencies, sub-sec of 'Identifying singular requirements', sub-sec of 'Scanning for similarity', and sub-sec of 'Identifying highly dependent requirements; Sec. 3.5 – An interdependency measure); and

- selecting at least one release plan solution from the set of candidate release plan solutions (e.g., Sec. 1 Introduction, Lines 1-4 As incremental systems development strategies become commonplace in industry, increment planning (or release planning, the words are used interchangeably herein) gains both importance and interest; The task of scheduling an optimal selection of requirements for a particular increment is as complex as it is important).
- 4. **As to claim 2** (incorporating the rejection in claim 1) (Original),

  Carlshamre discloses the method in which operating on the stakeholder priorities with algorithms using a computer is carried out repeatedly after changing one or more of the constraints, requirements or stakeholder priorities (e.g., Sec. 1 –

Introduction, 1<sup>st</sup> Par., Lines 6-12 – for increment planning at csson® Radio Systems revealed six different planning parameters that has to be considered and satisfied: <u>available resources</u>, <u>delivery time</u>, <u>requirements</u> interdependencies, <u>requirements priority</u>, system architecture, and dependencies to the code base; Sec. 3.4 – Supporting identification of interdependencies, subsec of 'Identifying singular requirements', sub-sec of 'Scanning for similarity', and sub-sec of 'Identifying highly dependent requirements; Sec. 3.5 – An interdependency measure).

5. **As to claim 3** (incorporating the rejection in claim 1) (Original),
Carlshamre discloses the method in which a set of release plan solutions is
generated (e.g., Sec. 1 - Introduction, Lines 1-4 – As <u>incremental systems</u>
<u>development strategies</u> become commonplace in industry, <u>increment planning</u>
(or <u>release planning</u>, the words are used interchangeably herein) gains both
importance and interest; The task of <u>scheduling an optimal selection of</u>
requirements for a <u>particular increment</u> is as complex as it is important) and the
solution set is further qualified by applying a concordance/non-discordance
principle (e.g., Sec. 1 – Introduction, 1<sup>st</sup> Par., Lines 6-12 – for increment planning
at Ericsson® Radio Systems revealed six different planning parameters that has
to be considered and satisfied: available resources, delivery time, <u>requirements</u>
interdependencies, requirements priority, system architecture, and dependencies
to the code base; Table 2 – Preliminary set of interdependencies – Col. 'Type'

and Col. 'Meaning'; Sec. 2.1 – Types of interdependencies, 2<sup>nd</sup> Par. through 3<sup>rd</sup> Par.).

- 6. **As to claim 8** (incorporating the rejection in claim 2) (Original),
  Carlshamre discloses the method in which changing the requirements comprises
  actions chosen from a group consisting of:
  - adding additional requirements;
  - removing existing requirements (e.g., P. 87, 3<sup>rd</sup> Par., Lines 5-8 If, for example, Add object really needs to be implemented before Delete object, it is evident that Delete object REQUIRES Add object);
  - · modifying existing requirements; and
  - adjusting stakeholder priorities (e.g., Sec. 1 Introduction, 1<sup>st</sup> Par., Lines 6-12 for increment planning at Ericsson® Radio Systems revealed six different planning parameters that has to be considered and satisfied: available resources, delivery time, requirements interdependencies, requirements priority, system architecture, and dependencies to the code base; 2<sup>nd</sup> Par., Lines 1-4 Priority of requirements is a major determinant in increment planning ..; Table 2 Preliminary set of interdependencies, 1<sup>st</sup> Col. Priority; Sec. 2.1 Types of interdependencies, 2<sup>nd</sup> Par. In some cases, more than one relationship could be identified between two particular requirements. To solve this, the interdependencies were given a priority, according to Table 1, and only the interdependency with the

- 7. **As to claim 9** (incorporating the rejection in claim 2) (Original),
  Carlshamre discloses the method further comprising the step of assigning the
  requirements to one of the next release, the next but one release, or unassigned
  (e.g., Sec. 1 Introduction, 1<sup>st</sup> Par., Lines 6-12 for increment planning at
  Ericsson® Radio Systems revealed six different planning parameters that has to
  be considered and satisfied: available resources, delivery time, requirements
  interdependencies, requirements priority, system architecture, and dependencies
  to the code base; Table 2 Preliminary set of interdependencies Col. 'Type'
  and Col. 'Meaning'; Sec. 2.1 Types of interdependencies, 2<sup>nd</sup> Par. through 3<sup>rd</sup>
  Par.).
- 8. As to claim 10 (incorporating the rejection in claim 9) (Original),
  Carlshamre discloses the method in which repeating the step of operating on the
  stakeholder priorities or value estimates with the algorithms comprises using the
  unassigned requirements as the requirements in the repeated step (e.g., Sec. 1 –
  Introduction, 1<sup>st</sup> Par., Lines 6-12 for increment planning at Ericsson® Radio
  Systems revealed six different planning parameters that has to be considered
  and satisfied: available resources, delivery time, requirements
  interdependencies, requirements priority, system architecture, and dependencies
  to the code base; Table 2 Preliminary set of interdependencies Col. 'Type'
  and Col. 'Meaning'; Sec. 2.1 Types of interdependencies, 2<sup>nd</sup> Par. through 3<sup>rd</sup>
  Par.; Sec. 3.4 Supporting identification of interdependencies, sub-sec of

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'Identifying singular requirements', sub-sec of 'Scanning for similarity', and sub-sec of 'Identifying highly dependent requirements; Sec. 3.5 – An interdependency measure).

- 9. **As to claim 11** (incorporating the rejection in claim 1) (Original),
  Carlshamre discloses the method in which selecting a release plan solution from
  the set of candidate release plan solutions is carried out by a problem solver
  (e.g., Sec. 1 Introduction, 1<sup>st</sup> Par., Lines 6-12 for increment planning at
  Ericsson® Radio Systems revealed six different planning parameters that has to
  be considered and satisfied: <u>available resources</u>, <u>delivery time</u>, <u>requirements</u>
  interdependencies, <u>requirements priority</u>, system architecture, and dependencies
  to the code base; Sec. 3.4 Supporting identification of interdependencies, subsec of 'Identifying singular requirements', sub-sec of 'Scanning for similarity', and
  sub-sec of 'Identifying highly dependent requirements; Sec. 3.5 An
  interdependency measure)
- 10. **As to claim 12** (incorporating the rejection in claim 1) (Original), Carlshamre does not disclose the method in which the method is carried out through a hybrid approach integrating computational intelligence and human intelligence.

However, it is well known in the art of project management to carry out the method through a hybrid approach integrating computational intelligence and human intelligence in order to obtain the benefits know in the art.

- 11. **As to claim 13** (incorporating the rejection in claim 1) (Original),
  Carlshamre discloses the method in which the set of constraints is chosen from a
  group consisting of precedence relationships between requirements, coupling
  relationships between requirements, effort, resource, budget, risk, and time (e.g.,
  Sec. 1 Introduction, 1<sup>st</sup> Par., Lines 6-12 for increment planning at Ericsson®
  Radio Systems revealed six different planning parameters that has to be
  considered and satisfied: <u>available resources</u>, <u>delivery time</u>, <u>requirements</u>
  interdependencies, requirements priority, system architecture, and dependencies
  to the code base; Table 2 Preliminary set of interdependencies Col. 'Type'
  and Col. 'Meaning'; Sec. 2.1 Types of interdependencies, 2<sup>nd</sup> Par. through 3<sup>rd</sup>
  Par.).
- 12. **As to claim 14** (incorporating the rejection in claim 1) (Original),
  Carlshamre discloses the method in which stakeholder priorities are represented
  by a numerical value representing stakeholder satisfaction (e.g., Sec. 2.1 –
  Types of interdependencies, 2<sup>nd</sup> Par. In some cases, more than one
  relationship could be identified between two particular requirements; For
  instance, it is intuitive that if a requirement R<sub>1</sub> requires another, R<sub>2</sub>, to function,
  R<sub>2</sub> will also increase the value of R<sub>1</sub> (from zero); P. 88, 1<sup>st</sup> Par., Lines 1-2 Case
  1, 2 and 3, the most common type of interdependency was <u>value-related</u>, i.e.,
  either <u>ICOST</u> or <u>CVALUE</u>) that a requirement be assigned to one of three
  categories, the categories consisting of the next release, the next but one

release, and postponed (e.g., Sec. 1 – Introduction – As <u>incremental systems</u> development strategies become commonplace in industry, <u>increment planning</u> (or release planning, the words are used interchangeably herein) gains both importance and interest).

- 13. As to claim 15 (incorporating the rejection in claim 1) (Original). Carlshamre discloses the method in which the requirements are grouped into groups of requirements (e.g., P. 90, 2<sup>nd</sup> Par. (sub-sec of 'Identifying highly dependent requirements) – most of the highly dependent requirements in our survey fall in one of the following categories: Migration to a new platform or OS; Changes to core functionality; Changes to core data structures; Major changes to user interface) and the algorithms balance between stakeholder priorities assigned to the groups of requirements (e.g., Sec. 1 – Introduction, 1st Par., Lines 6-12 – for increment planning at Ericsson® Radio Systems revealed six different planning parameters that has to be considered and satisfied: available resources, delivery time, requirements interdependencies, requirements priority, system architecture, and dependencies to the code base; Sec. 3.4 – Supporting identification of interdependencies, sub-sec of 'Identifying singular requirements', sub-sec of 'Scanning for similarity', and sub-sec of 'Identifying highly dependent requirements; Sec. 3.5 – An interdependency measure).
- 14. **As to claim 16** (incorporating the rejection in claim 1) (Original),
  Carlshamre discloses the method in which stakeholders prioritize subsets of the

complete set of requirements (e.g., Sec. 1 – Introduction, 1<sup>st</sup> Par., Lines 6-12 – for increment planning at Ericsson® Radio Systems revealed six different planning parameters that has to be considered and satisfied: available resources, delivery time, requirements interdependencies, requirements priority, system architecture, and dependencies to the code base; 2<sup>nd</sup> Par., Lines 1-4 – Priority of requirements is a major determinant in increment planning ..; Table 2 – Preliminary set of interdependencies, 1<sup>st</sup> Col. – Priority; Sec. 2.1 – Types of interdependencies, 2<sup>nd</sup> Par. – In some cases, more than one relationship could be identified between two particular requirements. To solve this, the interdependencies were given a priority, according to Table 1, and only the interdependency with the highest priority was recorded).

- 15. **As to claim 17** (incorporating the rejection in claim 1) (Original), Carlshamre discloses the method further comprising providing on demand an answer to questions chosen from a group of questions consisting of:
  - why requirements are assigned to a certain release;
  - why requirements are not assigned to a certain release;
  - which are commonalities in the proposed solutions; and
  - which are differences in the proposed solutions (e.g., Abstract, item (3) customer-specific bespoke development tend to include more functionality-related dependencies whereas market-driven product development have an emphasis on value-related dependencies;
     References [3] A Comparison Study in Software Requirements

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Negotiation; [5] – Evaluating Automated Support for Requirements

Similarity Analysis in Market-Driven Development; [6] – Improved Practical

Support for Large-scale Requirements Prioritizing; [9] – Requirements

Interaction Management; [10] - Surfacing Root Requirements from Inquiry

Cycle Requirements).

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- 16. **As to claim 19** (incorporating the rejection in claim 1) (Currently amended), Carlshamre discloses the method where different use cases are predefined (e.g., Sec. 1 Introduction, 4<sup>th</sup> Par. To the same end, a technique for simple visualization of the requirements interdependencies was then applied to <u>each of the five cases</u>; Sec. 2 Survey planning and operation, sub-sec 'The five cases').
- 17. **As to claim 20** (incorporating the rejection in claim 1) (Currently amended), Carlshamre discloses the method where process guidance is provided to perform the scenario use cases (e.g., Sec. 1 Introduction, 4<sup>th</sup> Par. To the same end, a technique for simple visualization of the requirements interdependencies was then applied to <u>each of the five cases</u>; Sec. 2 Survey planning and operation, sub-sec. 'The five cases').
- 18. **As to claim 21** (incorporating the rejection in claim 1) (Original), please refer to claim 1 as set forth above accordingly.

19. **As to claim 22** (incorporating the rejection in claim 1) (Original), please refer to claim 1 as set forth above accordingly.

## Claim Rejections – 35 USC § 103(a)

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 20. Claims 4-6, and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Carlshamre in view of Antoniol et al., (Search-based techniques for optimizing software project resource allocation, January 19, 2004, GECCO) (hereinafter 'Antoniol' art made of record)
- 21. **As to claim 4** (incorporating the rejection in claim 3) (Original),

  Carlshamre does not explicitly disclose the method in which the algorithms comprise one or more of genetic algorithms, heuristic algorithms and integer programming algorithms.

However, in an analogous art of *Search-based techniques for optimizing* software project resource allocation, Antoniol discloses the method in which the algorithms comprise one or more of genetic algorithms (e.g., P. 2, 4<sup>th</sup> Par., 2<sup>nd</sup> bullet – The paper presents results from an empirical study which compares two different encoding strategies. For each strategy, results are reported for

implementations of four algorithms: genetic algorithms, simulated annealing, hill climbing and random search; Sec. 3.1 – The encodings used – The search approaches applied in this paper where implemented for two different schemas of genome encoding and fitness function: the pigeon hole genome and the ordering genome; Sec. 3.1.1 – The pigeon hole genome; Sec. 3.1.2 – The ordering genome), heuristic algorithms (e.g., P.2, 5<sup>th</sup> Par. – After a brief overview of existing scheduling approaches and application of heuristic approaches to software project management ...) and integer programming algorithms (e.g., Sec. 3.1.1 – The pigeon hole genome describes

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Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to combine the teachings of Antoniol into the Carlshamre's system to further provide the method in which the algorithms comprise one or more of genetic algorithms, heuristic algorithms and integer programming algorithms in Carlshamre system.

the genome as an array of N integers, where N is the number of WPs (Work

Packages). Each value of the array indicates the team the WP is assigned to).

The motivation is that it would further enhance the Carlshamre's system by taking, advancing and/or incorporating Antoniol's system which offers significant advantages that results show that a genome encoding the work package ordering, and a fitness function obtained by queuing simulation constitute the best choice, both in terms of quality of results and number of fitness evaluations required to achieve them as once suggested by Antoniol (e.g., Abstract, 3<sup>rd</sup> Par.).

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22. **As to claim 5** (incorporating the rejection in claim 4) (Original), Antoniol discloses the method in which the algorithms use at least one objective function to evaluate release plan solutions (e.g., Abstract, 1<sup>st</sup> Par. – We present a search-based approach for planning resource allocation in large software projects, which aims to find an optimal or near optimal order in which to allocate work packages to programming teams, in order to minimize the project duration; Sec. 2 – Related Work, 1<sup>st</sup> Par. – One of the first examples of search-based scheduling was due to Davis; A survey of the application of genetic algorithms to solve scheduling problems has been presented by Husbands).

- 23. **As to claim 6** (incorporating the rejection in claim 5) (Original), Carlshamre discloses the method in which the objective function comprises an aggregation of stakeholder priorities or value estimates (e.g., Sec. 5.2 Case study results, 2<sup>nd</sup> Par., Lines 6-8 A queuing simulator allows modeling multistage maintenance processes, even accounting for rework or abandonment after a given phase, as well as for priority queues and for dependencies between WPs).
- 24. **As to claim 18** (incorporating the rejection in claim 1) (Original),
  Carlshamre does not explicitly disclose the method where a set of near optimal and maximally distinct alternative release plan solutions is generated.

However, in an analogous art of Search-based techniques for optimizing software project resource allocation, Antoniol discloses the method where a set of near optimal and maximally distinct alternative release plan solutions is generated (e.g., Abstract, 1<sup>st</sup> Par. – We present a search-based approach for planning resource allocation in large software projects, which aims to find an optimal or near optimal order in which to allocate work packages to programming teams, in order to minimize the project duration).

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to combine the teachings of Antoniol into the Carlshamre's system to further provide the method where a set of near optimal and maximally distinct alternative release plan solutions is generated in Carlshamre system.

The motivation is that it would further enhance the Carlshamre's system by taking, advancing and/or incorporating Antoniol's system which offers significant advantages that results show that a genome encoding the work package ordering, and a fitness function obtained by queuing simulation constitute the best choice, both in terms of quality of results and number of fitness evaluations required to achieve them as once suggested by Antoniol (e.g., Abstract, 3<sup>rd</sup> Par.).

25. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Carlshamre in view of Antoniol and further in view of David A. Penny (*An Estimation-Based Management Framework for Enhancive Maintenance in* 

Commercial Software Products, 2002, IEEE) (hereinafter 'Penny' - art made of record)

26. **As to claim 7** (incorporating the rejection in claim 6) (Original),
Carlshamre and Antoniol do not explicitly disclose the method in which
computation of the algorithms is carried out externally from an application service
provider, and stakeholder priorities are input to the computer from remote
locations.

However, in an analogous art of *An Estimation-Based Management*Framework for Enhancive Maintenance in Commercial Software Products, Penny discloses the method in which computation of the algorithms is carried out (e.g., Abstract, Lines 7-10 – The framework is founded upon a mathematically-stated, metrics-based model of the release cycle tuned to the software vendor environment) externally from an application service provider (e.g., Sec. 1 – Introduction, 4<sup>th</sup> Par. – It is centered around a set of continuously updated release plan documents typically deployed as Web pages on a company's intranet), and stakeholder priorities (e.g., Sec. 6 – The Release Plan Document, sub-sec. – Requirements Section, 3<sup>rd</sup> Par. – In practice, the requirements section would have more detail, such as pre-requisite relationships, priority information, any customers to whom the feature was promised, initial effort estimate, umber of days to-date spent on the feature, and hyper-linked quality assurance information) are input to the computer from remote locations.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to combine the teachings of Penny into the Carlshamre-Antoniol's system to further provide the method in which computation of the algorithms is carried out externally from an application service provider, and stakeholder priorities are input to the computer from remote locations in Carlshamre-Antoniol system.

The motivation is that it would further enhance the Carlshamre-Antoniol's system by taking, advancing and/or incorporating Penny's system which offers significant advantages for periodically capturing updated estimation data and using it as a basis for initial planning and subsequent re-planning of releases; the framework is founded upon a mathematically-stated, metrics-based model of the release cycle tuned to the software vendor environment as once suggested by Penny (e.g., Abstract).

## Conclusion

27. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ben C. Wang whose telephone number is 571-270-1240. The examiner can normally be reached on Monday - Friday, 8:00 a.m. - 5:00 p.m., EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Q. Dam can be reached on 571-272-3695. The fax

phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pairdirect.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (tollfree). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

SUPERVISORY PATENT EXAMINER

October 10, 2007